Docket No. 272481US0PCT

# I C17 Rec'd PCT/PTO 37 2 508 2005 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Pia BAUM, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/13463

GAU:

FILED: Herewith

EXAMINER:

FOR:

USE OF COPOLYMERS AS AUXILIARIES FOR DYEING AND PRINTING TEXTILES

#### **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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# JC17 Rec'd PCT/PTO 01 JUN 2005

Form PTO 1449 (Modified)		U.S. DEPARTMENT PATENT AND TRAD		272481US0PCT		New U.S. PCT Application				
					Based on PCT/EP03/13463					
LIST OF	REEEI	RENCES CITED BY AP	PLICANT	APPLICANT						
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				FILING DATE		GROUP				
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	r	T	ή	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS SUB FILING DATE IF APPROPRIATE		:		
	AA	3,097,046	07/09/63	WALLES, Wilhelm E.			<u> </u>			
	AB	3,097,048	07/09/63	WALLES, Wilhelm E.						
	AC	4,218,218	08/19/80	DAUBACH, Ewald et al.						
	AD	2004/0266655	12/30/04	BAUM, Pia et al. Serial No.: 10/494,035						
	AE	2005/0004322	01/06/05	BAUM, Pia et al. Serial No.: 10/494,512						
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		<b></b>	FO	REIGN PATENT DOCUMENTS	<del> </del>					
İ		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO				
	AO	100 36 713	05/17/01	DE(Equivalent of US 6,447,696)			NO			
	AP	0 879 916	11/25/98	EP(Equivalent of US 5,948,125)			NO			
	AQ	03/042262	05/22/03	WO(with English abstract)			NO			
	AR	61 239090	10/24/86	JP(English abstract only)			NO			
	AS	0 698 046	02/28/96	EP			NO			
_	AT.	03/042264	05/22/03	WO(with English abstract)			NO			
	AU	03/042263	05/22/03	WO(with English abstract)		_	NO			
	ΑV									
		OTHER RE	FERENCES (	Including Author, Title, Date, Pertinent	Pages, et	ic.)				
		Barbara ELVERS, Ste	phen HAWKIN	S, William RUSSEY: Ullmann's Encyclop	edia of Ind	lustrial Che	emistry, Fifth Complete	iy		
	AW	Revised Edition, Vol. A 26, page 291								
	AX	H. FIKENTSCHER: CELLULOSE-CHEMIE, Vol. 13, pages 58-64 and 71-74, 1932.								
	AY									
	AZ				Addit	tional Refe	erences sheet(s) attach	ed		
Examiner Date Considered										
*Examiner: In	itial if re	eference is considered,	whether or not	citation is in conformance with MPEP 60	9; Draw lin	ne through	citation if not in			
comonnance	and no	it considered. Include co	py or this form	with next communication to applicant.						

New U.S. PCT Application Based on PCT/EP03/13463 Docket No.: 272481US0PCT

### STATEMENT OF RELEVANCY

1) References <u>AA, AB and AO-AR</u> have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.										
2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.										
3) References <u>AC, AS-AU, AW and AX</u> are discussed in the specification. A copy of these references is being submitted here with.										
4) Referenceis additional prior art known to Applicant. A copy of these references is being submitted herewith.										

## **LIST OF RELATED CASES**

Docket Number	Serial or Patent Number	Filing or Issue Date	Patent Appl.  Publication No.	Inventor/ Applicant
252351US0PCT	10/494,365	05/12/04		BAUM, et al.
252453US0PCT	10/494,035	05/07/04	US2004/0266655 A1	BAUM, et al.
252149US0PCT	10/494,512	05/13/04	US2005/0004322 A1	BAUM, et al.